



# TRANSMITTAL FORM

Application Serial No.:	10/720,845
Filing Date:	November 24, 2003
First Named Inventor	Jones .
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	ADI-099

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Information Disclosure Statement PTO-1449 <input checked="" type="checkbox"/> Copy of IDS Citation (B1-B3) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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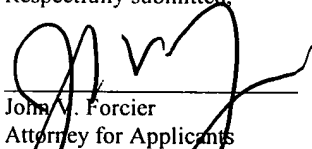
## CORRESPONDENCE ADDRESS

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Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
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## SIGNATURE BLOCK

Date: February 23, 2004  
Reg. No. 42,545  
Tel. No.: (617) 248-7675  
Fax No.: (617) 248-7100

Respectfully submitted,

  
John V. Forcier  
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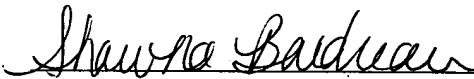
**PATENT**  
Attorney Docket No. ADI-099

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS:	Jones et al.	CONFIRMATION NO.:	Not Yet Assigned
SERIAL NO.:	10/720,845	GROUP NO.:	Not Yet Assigned
FILING DATE:	November 24, 2003	EXAMINER:	Not Yet Assigned
TITLE:	Shoe Closure System		

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 23rd day of February, 2004.

  
Shawna Boudreau

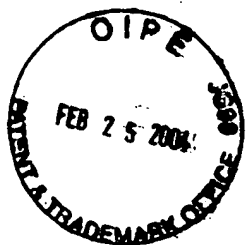
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Information Disclosure Statement (2 pages);
3. Form PTO-1449 (1 page);
4. Cited references B1-B3;
5. and a return receipt postcard.

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Attorney Docket No. ADI-099

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Jones et al. CONFIRMATION NO.: Not Yet Assigned  
SERIAL NO.: 10/720,845 GROUP NO.: Not Yet Assigned  
FILING DATE: November 24, 2003 EXAMINER: Not Yet Assigned  
TITLE: Shoe Closure System

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), only copies of the foreign patent documents and non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

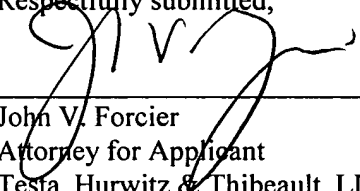
#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: February 23, 2004  
Reg. No. 42,545  
Tel. No.: (617) 248-7675  
Fax No.: (617) 248-7100

Respectfully submitted,

  
\_\_\_\_\_  
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High Street Tower  
125 High Street  
Boston, Massachusetts 02110



<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: ADI-099</b>					
<b>INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANTS: Jones et al.</b>					
				<b>SERIAL NO.: 10/720,845</b>					
				<b>FILING DATE: 11/24/2003 GROUP: Not Yet Assigned</b>					
<b>U.S. PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>		
	A1	4,408,403	10/11/83	Martin			08/03/81		
	A2	4,677,768	07/07/87	Benoit et al.			02/07/86		
	A3	4,811,503	03/14/89	Iwama			02/24/87		
	A4	4,955,149	09/11/90	Ottieri			11/22/88		
	A5	5,511,325	04/30/96	Hieblinger			05/27/94		
	A6	5,647,104	07/15/97	James			12/01/95		
	A7	5,678,331	10/21/97	Bonaventure			11/15/95		
	A8	5,934,599	08/10/99	Hammerslag			08/22/97		
	A9	6,032,387	03/07/00	Johnson			04/08/99		
	A10	6,052,921	04/25/00	Oreck			04/08/98		
	A11	6,351,897 B1	03/05/02	Smith			06/27/00		
	A12	6,378,230	04/30/02	Rotem et al.			11/06/00		
<b>FOREIGN PATENT DOCUMENTS</b>									
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY CODE</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE</b>	<b>ABSTRACT ONLY</b>	<b>ENGLISH LANG (Y/N)</b>
	B1	WO 99/09850	03/04/99	PCT				N	Y
	B2	0 652 720 B1	07/23/97	EP				Y	Y
	B3	2,114,387	08/25/94	CA				N	Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

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